



#11/Supp Info Dis.
8-14-03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT

Lawman

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on July 31, 2003.

[Signature]
Signature

Applicant : Craig L. Ogg, et al.
Application No. : 09/690,083
Filed : October 16, 2000
Title : CRYPTOGRAPHIC MODULE FOR SECURE PROCESSING OF VALUE-BEARING ITEMS

Grp./Div. : 3621
Examiner : Firmin Backer

Docket No. : 40630/SAH/S850

RECEIVED
AUG 06 2003
GROUP 3600

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT WITH FEE
UNDER 37 CFR §§ 1.97(c) AND 1.17(p)**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Post Office Box 7068
Pasadena, CA 91109-7068
July 31, 2003

Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b), enclosed is FORM PTO/SB/08A/B listing the references that are known to applicant. Copies of each of the listed references are enclosed.

It is respectfully requested that the listed references be considered in the examination of this application and identified on the list of references cited on the patent issuing for this application. Applicant also requests that an initialed copy of FORM PTO/SB/08A/B be entered in the application file and returned to applicant with the next communication from the Office in accordance with MPEP § 609.

Enclosed is the processing fee of \$180 as required by 37 CFR § 1.17(p). The Commissioner is hereby authorized to charge any fees which may be required by this paper to Deposit Account No.

08/04/2003 SSESHE1 00000147 09690083

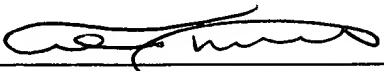
03 FC:1806

180.00 0P

Application No. 09/690,083

03-1728. Please show our docket number with any Deposit Account transaction. A copy of this paper is enclosed.

Respectfully submitted,
CHRISTIE, PARKER & HALE, LLP

By 
Raymond R. Tabandeh
Reg. No. 43,945
626/795-9900

RRT/clv
Enclosures: Check \$180
Copy of IDS
Form PTO/SB/08A/B, w/references
CLV PASS17828.1-* -07/31/03 11:46 AM



INFORMATION DISCLOSURE

STATEMENT BY APPLICANT

(use as many sheets as necessary)

Attorney Docket Number	40630/SAH/S850
Application Number	09/690,083
Filing Date	October 16, 2000
Applicant(s)	Craig L. Ogg, et al.
Group Art Unit	3621
Examiner Name	Firmin Backer

U.S. PATENT DOCUMENTS

EXAMINER INITIALS	Cite No. ¹	DOCUMENT NUMBER Number - Kind Code ² (If Known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
		US 2001/0034716 A1	10-25-2001	GOODWIN
		US 2002/0023057 A1	02-21-2002	GOODWIN, et al.

FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS	Cite No. ¹	Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ⁵ (If Known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T ⁶ (✓)

OTHER DOCUMENTS

EXAMINER INITIALS	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
	✓	U.S. Patent Serial No. 09/585,025 filed June 1, 2000, "Online Value Bearing Item Printing", 125pp.
	✓	U.S. Patent Serial No. 09/688,451 filed October 16, 2000, "Auditing Method and System for an On-Line Value-Bearing Item Printing System, 105pp.
	✓	U.S. Patent Serial No. 09/688,452 filed October 16, 2000, "Role Assignments in a Cryptographic Module for Secure Processing of Value-Bearing Items", 105pp.
	✓	U.S. Patent Serial No. 09/688,456 filed October 16, 2000, "Cryptographic Module for Secure Processing of Value-Bearing Items", 109pp.
	✓	U.S. Patent Serial No. 09/690,066 filed October 16, 2000, "Cryptographic Module for Secure Processing of Value-Bearing Items", 121pp.
	✓	U.S. Patent Serial No. 09/690,243 filed October 17, 2000, "Method and Apparatus for On-Line Value-Bearing Item System", 66pp.
	✓	U.S. Patent Serial No. 09/690,796 filed October 17, 2000, "Secure and Recoverable Database for On-Line Value-Bearing Item System", 71pp.
	✗	U.S. Patent Serial No. 09/692,746 filed October 18, 2000, "Method and Apparatus for Digitally Signing an Advertisement Area Next to a Value-Bearing Item", 61pp.
	f	U.S. Patent Serial No. 09/692,829 filed October 18, 2000, "Postal System Intranet and Commerce Processing for On-Line Value-Bearing System", 179pp.

EXAMINER SIGNATURE	DATE CONSIDERED
<p>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.pto.gov or MPEP 901.4. ³Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English Language Translation is attached.</p>	

FORM PTO/SB/08A/B (10-01) Substitute for PTO-1449A/B INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)	Attorney Docket Number	40630/SAH/S850
	Application Number	09/690,083
	Filing Date	October 16, 2000
	Applicant(s)	Craig L. Ogg, et al.
	Group Art Unit	3621
	Examiner Name	Firmin Backer

OTHER DOCUMENTS		
EXAMINER INITIALS	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
	+	U.S. Patent Serial No. 09/788,069 filed February 16, 2001, "On-Line Value-Bearing Indiciu Printing Using DSA", 43pp.
	f	U.S. Patent Serial No. 10/083,236 filed February 26, 2002, "Secured Centralized Public Key Infrastructure", 101pp.

CLV PAS517830.1-*-07/29/03 2:25 PM

EXAMINER SIGNATURE		DATE CONSIDERED	
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.pto.gov or MPEP 901.4. ³ Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English Language Translation is attached.			

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE